09/752,881

TRANSMITTAL FORM		Application No.	09/7	52,881		
(to be used for all correspondence after initial filing)			Filing Date	Dec	ember 28, 2000	
			First Named Inventor	Ven	Venkatesan Murali	
·		Art Unit	2874	ļ		
		Examiner Name	Lee,	Lee, John D.		
Total Number of	Pages in This Submission	on 8	Attorney Docket Numb	er 4239	POP10314	
	ENCLO	SURES (chec	k all that apply)			
Fee Transmittal	Form	Drawing(s))		After Allowance Communication to TC	
Fee Attac	hed	Licensing-re	elated Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment / Re	esponse	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Fina Affidavits	al /declaration(s)	Petition to C Provisional	Convert a Application		Proprietary Information	
Extension of Time Request Express Abandonment Request Information Disclosure Statement		Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund		1	Status Letter	
					Other Enclosure(s) (please identify below): Return receipt postcard	
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Certified Copy of Document(s)	f Priority	Landso	cape Table on CD		j	
Response to Mis	ssing Parts/ ication	Remarks				
Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53			_ ·			
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm William Thomas Babbitt, Reg.		g. No. 39,591				
Individual name BLAKELY, SOKO		OKOLOFF,	TAYLOR & ZAI	FMAN	LLP	
Signature Millian Buthit						
Date 2/2/06						
CERTIFICATE OF MAILING/TRANSMISSION						
					e date shown below with sufficient ts, P.O. Box 1450, Alexandria, VA	
Typed or printed na						
Signature Refy Calder		aldern		Date	2/2/06	

Application No.

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			Complete i	f Known	
FEE TRANSMI	IIAL	Application Numb			
for FY 2005 Patent fees are subject to annual revision.		Filing Date		ber 28, 200	00
		First Named Inve		esan Mural	
		Examiner Name	VOIIKUU		
Applicant claims small entity status. See	37 CFR 1.27.		Lee, Jo	onn D.	
TOTAL AMOUNT OF PAYMENT	(\$) 0.00	Art Unit Attomey Docket I	2874	10214	
	(+) 0.00	Attorney bocket	No. 42390P1	10314	
METHOD OF PAYMENT (check al	l that apply)				
☐ Check ☐ Credit card ☐ Money O	rder 🛮 None 🔲	Other (please idea	ntify):		
Deposit Account Deposit Account l	Number: <u>02-2666</u>	Deposit Account	Name: Blakely,	Sokoloff, Ta	ylor & Zafman LLP
For the above-identified deposit acc Charge fee(s) indicated below Charge any additional fee(s) or under 37 CFR §§ 1.16, 1.17,	r underpayment of fee	☐ Charge	d to: (check all that fee(s) indicated be any overpayments	elow, except	for the filing fee
FEE CALCULATION					
4 EVIDA CI AIM EEES					
I. EXTRA CLAIMI FEES Extra Claims	Fee from below Fee Paid				`
Total Claims 6 20 = 0 x	50.00 = \$0.00				
Independent 6 6 6 0 X	200.00 = \$0.00				
Multiple Dependent	=				
Large Entity Small Entity					
Fee Fee Fee Fee Description					
Code (\$) Code (\$)					
1202 50 2202 25 Claims in excess of 1201 200 2201 100 Independent claims					
1203 360 2203 180 Multiple Dependent	daim, if not paid				
**Poles or status to	ent claims over original patent excess of 20 and over original pate	ent **	or number previously paid, i	if greater, For Reiss	ues, see below
1205 300 2205 150 Ressue claims in SUBTOTAL (1)					
SUBTUTAL(I)	(\$) 0.00				
2 ADDITIONAL FEES					
Large Entity Small Entity					
Fee Fee Fee Fee Code (\$) Code (\$)	Foo Doogdation			D-14	•
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	1252 450 2252 225 Extension for repty within second month 1253 1,020 2253 510 Extension for repty within third month				
	ly within fourth month				
1255 2,160 2255 1,080 Extension for rep	2,160 2255 1,080 Extension for reply within fifth month				
1401 500 2401 250 Notice of Appeal 1402 500 2402 250 Filing a brief in su	ipport of an appeal			[
1402 500 2402 250 Filing a brief in support of an appeal 1403 1,000 2403 500 Request for oral hearing					
	451 1,510 2451 1,510 Petition to institute a public use proceeding				
1806 180 1806 180 Submission of Information Disclosure Stmt					
1809 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a))					
1810 790 2810 395 For each additional invention to be examined (37 CFR § 1.129(b)) Other fee (specify)					
	SUBTOTAL (2)		(\$)		
SUBMITTED BY		-		Comp	olete (if applicable)
Name (Print/Type) William Thomas Ba	bbitt,	Registration No. (Attorney/Agent)	39,591	Telephone	(310),207-3800

Date

Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Venkatesan Murali, et al.

Application No. 09/752,881

Filed: December 28, 2000

For: Optical Subassembly

Examiner: Lee, John D.

Art Unit: 2874

Confirmation No.: 9418

TRANSMITTAL OF SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY

MAIL STOP ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Enclosed herewith is a Supplemental Declaration and Power of Attorney for the above-identified application. The citizenship of inventor Siegfried B. Fleischer is provided.

If any additional fees are required in order to prevent this Application from being abandoned, please charge our Deposit Account No. 02-2666. A Fee Transmittal sheet is enclosed for charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Dated: 2/0/

William Thomas Babbitt, Reg. No. 39,591

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 Telephone (310) 207-3800 Facsimile (310) 820-5988 **CERTIFICATE OF TRANSMISSION:**

I hereby certify that this correspondence is being deposited with the United States Postal Services on the date shown below with sufficient postage as first class mail addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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2/2/06

Nedy Calderon

Date

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SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (37 CFR 1.63)

Declaration
Submitted
with Initial
Filing

OR

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16(e))
required)

Attorney Docket Num	ber 42390P10314	
First Named Inventor	Venkatesan Murali	
(COMPLETE IF KNOWN	
Application Number	09/752,881	
Filing Date December 28, 2000		
Art Unit	2874	
Examiner Name	Scott A. Knauss	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below, next to my name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

	OPTICAL SUBASSEMBLY
	(Title of the Invention)
the specification of which	(Time of the Invention)
☐ is attached hereto.	
OR	
was filed on (if applica	
or <u>12/28/2000</u>	as United States Application Number09/752,881 as PCT International Application Number
and was amended on	(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above.

I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, or inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
				☐ Yes ☐ No
				☐ Yes ☐ No
				☐ Yes ☐ No
				☐ Yes ☐ No
				☐ Yes ☐ No

Appointment of Practitioners:

I hereby appoint the practitioners associated with Customer Number: <u>45209</u> as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith.

If this patent application is assigned, then the undersigned hereby authorizes the patent attorneys and patent agents named herein to accept and follow instructions from the assignee(s) as to any action to be taken in the United States Patent and Trademark Office regarding this application without direct communication between the patent attorneys and patent agents and the undersigned. In the event of a change in the persons from whom instructions may be taken, at least one patent attorney or patent agent named herein will be so notified by the undersigned.

Correspondence:

Direct all correspondence to Customer Number 08791,

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE	OR FIRST INVENTOR: LA petitio	on has been filed for this undersign	ned inventor	
Full Name:	Venkatesan Murali			
	(First, Middle [if any], Fami	y Name (or Surname), and Suffix [if any])		
Inventor's Signat	ure	Date		
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Mailing Address	1102 Queensbridge Way			
	San Jose, CA 95120			

NAME OF SECO	ND INVENTOR: A petition has	been filed for this undersigned inventor			
Full Name:	Douglas E. Crafts				
	(First, Middle [if any], Family Name (or Surname), and Suffix [if any])				
Inventor's Signat	ture	Date			
Residence Sa:	n Jose, CA	Citizenship U.S.A.			
	(City, State, Country)	(Country)			
Mailing Address	6585 Ingleside Court				
	San Jose, CA 95120				
NAME OF THIRD	INVENTOR:	been filed for this undersigned inventor			
	·	•			
Full Name:		sh Ramalingam			
	(First, Middle [if any], Famil	y Name (or Surname), and Suffix [if any])			
Inventor's Signat	ure	Date			
Residence Fre	emont, CA	Citizenship India			
	(City, State, Country)	(Country)			
Mailing Address	34276 Dunhill Drive				
J	Fremont, CA 94555				
	·	been filed for this undersigned inventor			
Full Name:		y Name (or Surname), and Suffix [if any])			
Inventor's Signat		y Name (or Surname), and Sujjix [ij anyj) Date			
		Citizenship II S A			
Residence Sar	1 Jose, CA (City, State, Country)	Citizenship U.S.A. (Country)			
Mailina Address	, , , ,	(Country)			
Mailing Address	79 N. 10th Street, Apt. A				
	San Jose, CA 95112				
NAME OF FIFTH	INVENTOR:	been filed for this undersigned inventor			
Full Name:		ied B. Fleischer			
		y Name (or Surname), and Suffix [if any])			
Inventor's Signat	ure G. 15/16/	Date /-/3-2005			
Residence Sar	ı Jose, CA	Citizenship Germany			
	(City, State, Country)	(Country)			
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	San Jose, CA 95124				